FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>ALEXANDER STEPHEN B</u>						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN]								(Chec	k all app Direc	tionship of Reporting all applicable) Director Officer (give title		rson(s) to Is 10% O Other (s	wner
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.					03/2	3. Date of Earliest Transaction (Month/Day/Year) 03/20/2021									X Officer (give title Officer (specify below) SVP, Chief Technology Officer				
(Street) HANOV (City)			21076-1 Zip)	.426	4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Line) X Form filed by One Reporti Form filed by More than C										orting Pers	on		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date				Date	Transaction te onth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)			4 and Securit Benefic Owned		ies cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
						Code	v	Amount	(A) o	Pric	e	Report Transa (Instr. 3	ed ction(s) 3 and 4)			(Instr. 4)			
Common Stock 03/3					2021				F		498(1)	D	\$5	5.42	2 85,346(2)			D	
Common Stock 03/2					2021				F		324(3)	D	\$5	5.42	85	85,022 ⁽²⁾		D	
Common Stock 03/20/2					2021				F		306(4)	D	\$5	5.42	84,716(2)			D	
Common Stock 03/20/2					2021				F		252 ⁽⁵⁾	D	\$5	5.42	.42 84,464 ⁽²⁾		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)	Date, Transac Code (I		of	r osed (, 3, 4	Expiration (Month/Da		te	7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		De See (In:	Price of rivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/18/2018. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2018.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2019. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2019.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/18/2020.

By: Michelle Rankin For: 03/23/2021 Stephen B Alexander

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.