| SEC Form | n 4 |
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| | FORM |

Instruction 1(b)

FORM 4

Check this box to indicate that a transaction was made pursuant to a

contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to | STATEMENT OF CHANGES IN BENEFICIAL | . OWNERSHIP |
|--|------------------------------------|-------------|
| Section 16. Form 4 or Form 5 | | |
| obligations may continue. See | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Name and Address of Reporting Person* | | erson [*] | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|-------------------------|--------------------|--|----------|---|-----------------------|--|--|--|--|
| MOYLAN. | <u>JAMES E JR</u> | | | | Director | 10% Owner | | | | |
| p | | | - | 1 | Officer (give title | Other (specify | | | | |
| (Last) | (Last) (First) (Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) | | below) | below) | | | | |
| C/O CIENA CORPORATION | | | 12/20/2024 | | SVP Finance | e, CFO | | | | |
| 7035 RIDGE RD. | | | | | | | | | | |
| P | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv | vidual or Joint/Group Fil | ing (Check Applicable | | | | |
| (Street) | | | | Line) | | | | | | |
| HANOVER | MD | 21076-1426 | | 1 | Form filed by One Re | eporting Person | | | | |
| | | | _ | | Form filed by More the Person | an One Reporting | | | | |
| (City) | (State) | (Zip) | | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Disposed Of 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------------------------------|---|------------------------------------|---------------|---------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 12/20/2024 | | F | | 554 ⁽¹⁾ | D | \$87.38 | 241,601 ⁽²⁾ | D | |
| Common Stock | 12/20/2024 | | F | | 854 ⁽³⁾ | D | \$87.38 | 240,747 ⁽²⁾ | D | |
| Common Stock | 12/20/2024 | | F | | 888(4) | D | \$87.38 | 239,859 ⁽²⁾ | D | |
| Common Stock | 12/20/2024 | | F | | 467(5) | D | \$87.38 | 239,392 ⁽²⁾ | D | |
| Common Stock | 12/20/2024 | | F | | 3,279(6) | D | \$87.38 | 236,113(2) | D | |
| Common Stock | 12/20/2024 | | F | | 1,312(7) | D | \$87.38 | 234,801 ⁽²⁾ | D | |
| Common Stock | 12/20/2024 | | F | | 1,704 ⁽⁸⁾ | D | \$87.38 | 233,097 ⁽²⁾ | D | |
| Common Stock | | | | | | | | 152,120 | Ι | By spousal trust ⁽⁹⁾ |

| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|---|--|--|---|------|--|-----|---|---------------------|-------------------------|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction of Code (Instr. Derivat 8) Securit Acquin (A) or Dispos of (D) | | Derivative (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 | | Amount of Securities | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/18/2020

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/15/2022

4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2023. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/14/2023

5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/16/2021.

6. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a market stock unit (MSU) award agreement dated 12/14/2021. The earning of the MSU was previously reported on Table I of the reporting person's Form 4 filed on 12/19/2024.

7. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/13/2022. The earning of the PSU was previously reported on Table I of the reporting person's Form 4 filed on 12/14/2023

8. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/12/2023. The earning of the PSU was previously reported on Table I of the reporting person's Form 4 filed on 12/19/2024

9. The beneficiary of the trust is the reporting person's spouse. The reporting person disclaims beneficial ownership of the securities held by the trust.

By: Michelle Rankin For:12/23/2024James E. Moylan Jr.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.